



FRISCO BUSINESS SOLUTIONS GROUP INC DBA FBS SECURITIES

3900 S Stonebridge Dr, Suite 1104, McKinney, TX 75070
(214) 307-4320

PRIVACY POLICY

Investment advisers are required by law to inform their clients of their policies regarding privacy of client information. We are bound by professional standards of confidentiality that are even more stringent than those required by law. Federal law gives the customer the right to limit some but not all sharing of personal information. It also requires us to tell you how we collect, share, and protect your personal information.

TYPES OF NONPUBLIC PERSONAL INFORMATION (NPI) WE COLLECT

We collect nonpublic personal information about you that you provide to us or that we obtain with your authorization in connection with providing financial services. We may also use third-party analytics and visitor identification tools to understand website usage and improve our online services. Such information may include, but is not limited to, personal, financial, and technical data. When you are no longer a client, we may continue to share your information only as permitted and described in this notice.

PARTIES TO WHOM WE DISCLOSE INFORMATION

All Investment Advisers may need to share personal information to run their everyday business. In the section below, we list the reasons that we may share your personal information:

- For everyday business purposes — such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus;
- For our marketing — to offer our products and services to you;
- For joint marketing with other financial companies;
- For our affiliates' everyday business purposes — information about your transactions and experiences and information about your creditworthiness; or
- For non-affiliates to market to you.

If you are a new customer we may begin sharing your information on the day you sign our agreement. When you are no longer our customer, we may continue to share your

information as described in this notice. However, you can contact us at any time to limit our sharing.

USE OF ARTIFICIAL INTELLIGENCE (AI) AND DATA PROCESSING

FBS Securities utilizes secure, enterprise-tier artificial intelligence (AI) tools to assist with financial planning, data analysis, and internal workflows. We strictly prohibit the input of your Personally Identifiable Information (PII) or Nonpublic Personal Information (NPI) into any public-facing, free, or unauthorized AI models. Furthermore, our agreements with our approved enterprise AI service providers legally prohibit them from using our firm's data or your personal information to train their public AI models.

PROTECTING CONFIDENTIALITY OF CURRENT AND FORMER CLIENT'S INFORMATION

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law, including computer safeguards and secured files and building.

INCIDENT RESPONSE AND NOTIFICATION

In accordance with the amended SEC Regulation S-P, FBS Securities maintains a comprehensive Incident Response Program. In the event that unauthorized access to your sensitive customer information occurs, we will notify you promptly as required by federal and state law.

FEDERAL LAW GIVES YOU THE RIGHT TO LIMIT SHARING — OPTING OUT

Federal law allows you the right to limit the sharing of your NPI by “opting-out” of the following: sharing for affiliates' everyday business purposes — information about your creditworthiness; or sharing with affiliates or non-affiliates who use your information to market to you. State laws and individual companies may give you additional rights to limit sharing. Please notify us immediately if you choose to opt out of these types of sharing.

FOR CALIFORNIA RESIDENTS (CCPA / CPRA)

If you are a resident of California, you have specific rights regarding your personal information under the California Consumer Privacy Act (CCPA) and the California Privacy Rights Act (CPRA). These include the right to request access to the specific pieces of personal information we have collected about you, the right to request the deletion or correction of your data, and the right to opt-out of the sale or sharing of your personal information. **FBS Securities does not sell your personal information to third parties.** To exercise any of your California privacy rights, please contact our Chief Compliance Officer at (214) 307-4320.

DEFINITIONS

- **Affiliates** — companies related by common ownership or control. They can be financial and non-financial companies.
- **Non-affiliates** — companies not related by common ownership or control. They can be financial and non-financial companies.
- **Joint marketing** — a formal agreement between non-affiliated financial companies that together market financial products or services to you.

Please call if you have any questions. Your privacy, our professional ethics, and the ability to provide you with quality financial services are very important to us.